

Synthesis of 4-methoxy-1*H*-phenalen-1-one: a subunit related to natural phenalenone-type compounds

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Received 10 March 2008; revised 14 April 2008; accepted 15 April 2008

Available online 20 April 2008

Abstract

4-Methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**), a subunit found in some *Musa* phytoalexins and related natural products from the Haemodoraceae, was synthesized starting from 2-methoxynaphthalene in five steps and an overall yield of 36%. A Heck–Fujiwara coupling between ethyl acrylate and 1-bromonaphthalene afforded the corresponding (*E*)-naphthylpropanoic acid which, after hydrogenolysis, was subjected to a one-pot Friedel–Crafts acylation–DDQ dehydrogenation procedure to furnish **1**.
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Keywords: Friedel–Crafts acylation; Heck coupling; Phenalenones

The search for phytoalexins in *Musa* over the past 15 years resulted in the isolation and structural identification of 9- and 4-phenylphenalenones and related compounds such as dimeric phenylphenalenones, phenyl-naphthalic anhydrides, perinaphthenones, and an oxabenzochrysenone.¹ In addition, even more structures of the phenalenone-type have been found in the Haemodoraceae, Pontederiaceae, and Strelitziaceae.² Some of these compounds have been tested as antifungal (*Mycosphaerella fijiensis*, *Colletotrichum musae*, *Fusarium oxysporum*), and antiprotozoal agents (*Leishmania donovani*) showing moderate to good activities.³ Whereas, the bioactivity of 9-phenylphenalenones has been studied in some detail, little information is available about the activity of analogs. This can be explained by the fact that all of these phenalenone-related compounds occur in only minute amounts in plants and efficient syntheses have been developed primarily for

9-phenylphenalenones.⁴ Therefore, it is desirable to develop new synthetic routes, especially for the scarcer members of the phenalenone family in order to expand the accessibility of these phenolic compounds for biological assays.

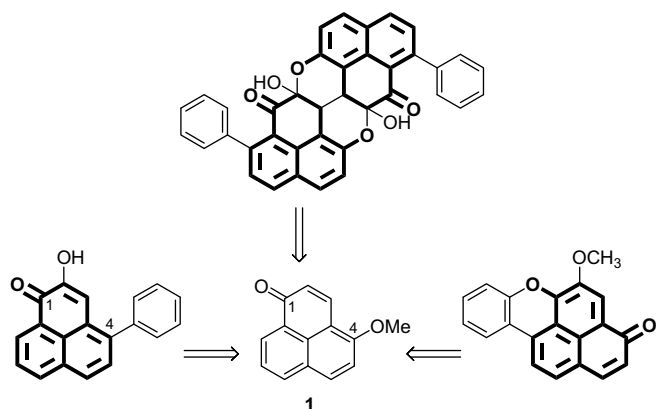
Phenalen-1-ones (perinaphthenones) substituted at the C4 position are common subunits of some of the less abundant natural phenalenone-related compounds. 4-Methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**) is of special interest because of the possibilities that this compound can be used as either starting material for the synthesis of oxabenzochrysenones⁵ or to obtain fused dimeric phenylphenalenones by introducing a phenyl ring in the C9 position via a Grignard reaction.⁴ Also, it is plausible that 4-phenylphenalenones can be synthesized via cross-coupling reactions using a suitable C4-substituted compound (Scheme 1).⁵

Here, we report the synthesis of **1** using a Heck–Fujiwara coupling and a Friedel–Crafts acylation as the C–C bond forming reactions starting from 2-methoxynaphthalene.

Perinaphthenone can be obtained in one step by condensing 2-naphthol with glycerol, sulphuric acid, and

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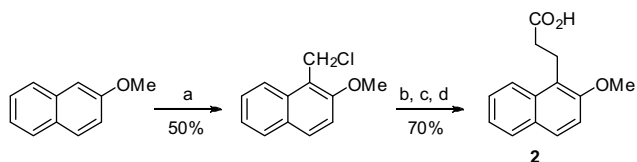


Scheme 1. 4-Methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**) as a plausible retrosynthetic intermediate of natural phenylphenalenone-related compounds.

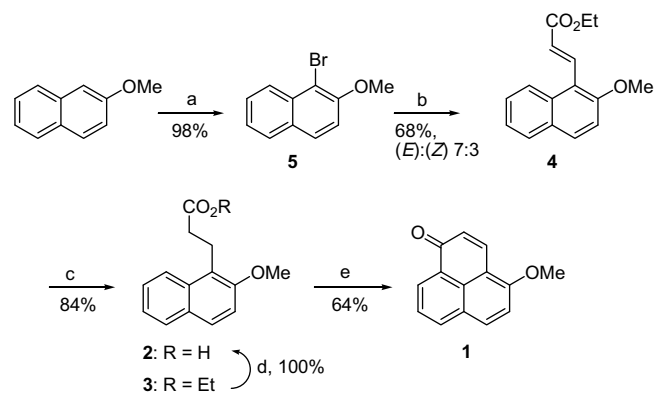
a mild oxidizing agent.⁶ This procedure, applied to 2,7-dihydroxynaphthalene, gives 6-hydroxyperinaphthenone. However, in both the cases the yields are low, and complex mixtures result.⁶ Applying conditions reported by Cooke et al.⁶ to 1,6-dihydroxynaphthalene, a suitable substrate for obtaining 4-hydroxy-1*H*-phenalen-1-one (4-hydroxyperinaphthenone), afforded unsatisfactory results, as mixtures were formed in which the desired compound was not detected by ¹H NMR spectroscopy. No attempt was made to optimize this strategy.

4-Methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**) and other perinaphthenones alike can be prepared by the cyclization of β-1-naphthylpropanoic acids obtained by the malonic ester synthesis, using 1-halogenomethyl naphthalenes as starting materials (Scheme 2).⁶ This strategy has been recognized as the most versatile synthesis of phenalenes, 2,3-dihydrophenalenes, and 2,3-dihydrophenalenones.⁶ However, 3-(2-methoxy-1-naphthyl)propanoic acid (**2**), the direct precursor of **1**, is formed in only moderate yield,⁷ and moreover, in our hands the chloromethylation and malonate condensation steps required careful handling for reproducible yields to be achieved. Therefore, a different strategy was explored in the preparation of **2**.

Thus, following a procedure slightly modified from that in the literature,⁸ the solvent-free bromination of 4-methoxynaphthalene with NBS/Al₂O₃ afforded 1-bromo-2-methoxynaphthalene (**5**) with suitable purity for synthetic purposes. Standard Heck–Fujiwara treatment⁹ of **5**



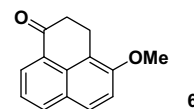
Scheme 2. Reagents and conditions: (a) *p*-formaldehyde, AcOH, HCl_(g), 0 °C for 5 min then rt, 2 h; (b) NaOEt_(s), THF, diethyl malonate, reflux, 2 h; (c) NaOH_(aq) (6 M), 1 h reflux then H₂SO₄ 98% until pH ~ 4; (d) 190 °C.



Scheme 3. Reagents and conditions: (a) NBS, neutral Al₂O₃, 90 °C, 1 h; (b) Pd(OAc)₂, P(*o*-tolyl)₃, DMF, ethyl acrylate, Et₃N, reflux under N₂, 6 h; (c) H₂(_g), 10% Pd/C, MeOH, 25 °C, 24 h; (d) NaOH (2 M), reflux, 3 h then HCl 10% until pH ~ 2; (e) SOCl₂ (4 mL), 30 °C until dryness then CH₂Cl₂ (25 mL), AlCl₃ (400 mg, 3 equiv) 10 min, DDQ (304 mg, 1.3 equiv) 15 min.

in the 4 mmol scale afforded acrylate **4** in 70% yield as a single (*E*)-diastereomer. Interestingly, scaling this process up just 4-fold led to a 68% yield of an inseparable mixture of (*E*)- and (*Z*)-diastereomers with a 7:3 ratio in favor of the (*E*)-diastereomer. The diminished stereoselectivity was of no consequence, as hydrogenation of the mixture with hydrogen over palladium–charcoal transformed both isomers into ethyl 3-(2-methoxy-1-naphthyl)propanoate (**3**) in an 85% yield.¹⁰ Ester **3** was then refluxed with aqueous NaOH solution (2 M, 120 °C, 3 h) to obtain **2** in quantitative yield after acidic workup.

Typically, substrates like **2** are cyclized using Friedel–Crafts conditions which, after dehydrogenation, afford perinaphthenones in good yields.¹¹ Therefore, the attractive variant reported by Sarvani and Sharghi¹² was tested; **2** (0.5 mmol) was mixed with *p*-TSA·H₂O (0.09 mmol) and graphite (0.15 g) at 140 °C. By using these conditions, we obtained 10% of 4-methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**) as the only product (48% based on recovered **2**) after careful purification by preparative TLC (*n*-hexane/diethyl ether 1:1.5). Changing the temperature from 110 to 190 °C did not improve the yield, nor did the varying *p*-TSA·H₂O in the range of 0.01–0.1 mmol. Therefore, a more conventional strategy was explored using a ‘one pot’ procedure (Scheme 3). Treating **2** with thionyl chloride followed by adding CH₂Cl₂ and AlCl₃ at 30 °C afforded a mixture of 2,3-dihydro-4-methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **6**) and 4-methoxy-1*H*-phenalen-1-one (4-methoxyperinaphthenone, **1**).¹³ Adding DDQ to the previous slurry completely transformed the 2,3-dihydro compound **6** to **1**, which, after purification by column chromatography, was obtained in 64% overall yield.



For identification purposes, 2,3-dihydro-4-methoxy-1-*H*-phenalen-1-one (4-methoxyperinaphthanone, **6**) was obtained using the above mentioned procedure for compound **1** without adding DDQ and purified by column chromatography using CH₂Cl₂ as an eluent (54% yield).¹⁴ The product slowly decomposed to **1** in open air atmosphere at 30 °C.

In summary, we have developed a five-step synthesis of 4-methoxy-1-*H*-phenalen-1-one (4-methoxyperinaphthanone, **1**) starting from 2-methoxynaphthalene in a 36% global yield. The use of a Heck–Fujiwara coupling and the one-pot cyclization procedure significantly improved the previously reported methods. Further studies focusing on the use of **1** in the synthesis of natural phenylphenalenones or structural analogs are currently underway.

Acknowledgments

We thank Sybille Lorenz for mass spectrometric analyses, E. Wheeler for editorial assistance, and professors J. M. Aurrecochea and B. Figadere for useful comments. This research was financially supported by Universidad de Antioquia (Programa de Sostenibilidad), Universidad Nacional de Colombia (grant 2010100516), and the Max-Planck-Institut für Chemische Ökologie.

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- Experimental procedure*: A 100 mL three-necked round-bottomed flask equipped with a condenser was charged with PdCl₂ (161.6 mg, 0.72 mmol), tri-*o*-tolylphosphine (895 mg, 2.94 mmol), 1-bromo-2-methoxynaphthalene (3.4 g, 14.5 mmol) and DMF (50 mL). The mixture was refluxed under nitrogen for 6 h at 160 °C. DMF was removed under vacuum and the crude mixture subjected to column chromatography (*n*-hexane–diethyl ether is 9:1) to give an (*E,Z*)-mixture of ethyl 3-(2-methoxy-1-naphthyl)acrylate (**4**) as a brown oil. (68%, (*E*):(*Z*) ratio is 7:3); (*E*)-diastereomer: ¹H NMR (C₃D₆O, 500.13 MHz) δ 8.33 (d, *J* = 16.2 Hz, H-3), 8.18 (d, *J* = 8.6 Hz, H-8'), 8.00 (d, *J* = 9.2 Hz, H-4'), 7.86 (d, *J* = 8.1 Hz, H-5'), 7.59 (dd, *J* = 8.6, 8.5 Hz, H-7'), 7.51 (d, *J* = 9.2 Hz, H-3'), 7.42 (dd, *J* = 8.5, 8.1 Hz, H-6'), 6.75 (d, *J* = 16.2 Hz, H-2), 4.27 (q, *J* = 7.2 Hz, –OCH₂CH₃), 4.07 (s, –OCH₃), 1.33 (t, *J* = 7.2 Hz, –OCH₂CH₃); ¹³C NMR (C₃D₆O, 125.75 MHz) δ 167.8 (C-1), 158.0 (C-2'), 137.8 (C-3), 133.5 (C-8a'), 132.7 (C-4'), 130.0 (C-4a'), 129.6 (C-5'), 128.4 (C-7'), 124.7 (C-6'), 124.0 (C-2), 123.6 (C-8'), 116.8 (C-1'), 114.0 (C-3'), 60.7 (–OCH₂CH₃), 56.7 (–OCH₃), 14.7 (–OCH₂CH₃). HREIMS *m/z* 256.111221 (calcd for C₁₆H₁₆O₃, 256.109945).
- Experimental procedure*: A balloon filled with hydrogen was fitted to a 25 mL round-bottomed flask charged with the catalyst (1 g) and a solution of **4** (2.2 g, 14 mmol) in MeOH (10 mL). The mixture was stirred at 25 °C for 24 h, the catalyst filtered and the solvent evaporated. Ethyl 3-(2-methoxy-1-naphthyl)propanoate (**3**): White powder, ¹H NMR (C₃D₆O, 500.13 MHz) δ 8.01 (d, *J* = 8.6 Hz, H-8'), 7.84 (d, *J* = 8.1 Hz, H-5'), 7.83 (d, *J* = 9.0 Hz, H-4'), 7.50 (dd, *J* = 8.6, 8.5 Hz, H-7'), 7.42 (d, *J* = 9.0 Hz, H-3'), 7.34 (dd, *J* = 8.5, 8.1 Hz, H-6'), 4.10 (q, *J* = 7.2 Hz, –OCH₂CH₃), 3.98 (s, –OCH₃), 3.38 (t, *J* = 8.2 Hz, H-3), 2.54 (t, *J* = 8.2 Hz, H-2), 1.20 (t, *J* = 7.2 Hz, –OCH₂CH₃); ¹³C NMR (C₃D₆O, 125.75 MHz) δ 173.2 (C-1), 155.5 (C-2'), 133.4 (C-8a'), 130.2 (C-4a'), 129.4 (C-5'), 129.0 (C-4'), 127.3 (C-7'), 124.0 (C-6'), 123.5 (C-8'), 122.1 (C-1'), 114.1 (C-3'), 60.6 (–OCH₂CH₃), 56.7 (–OCH₃), 34.8 (C-2), 21.3 (C-3), 14.5 (–OCH₂CH₃). HREIMS *m/z* 258.124791 (calcd for C₁₆H₁₈O₃, 258.125595).
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- Experimental procedure*: To a 50 mL round-bottomed flask charged with **2** (242 mg, 1 mmol) was added SOCl₂ (1 mL). After gas evolution had ceased, the flask was air-dried and the SOCl₂ addition repeated three times. The product was dissolved in CH₂Cl₂ (25 mL) and AlCl₃ (400 mg) was added in one portion (the solution turns red). DDQ (304 mg, 1.3 equiv) was added after 10 min and the mixture maintained at 30 °C for 15 min. Finally, silica gel (5 g) was added and the mixture was dried at 30 °C. Column chromatography (1:1 *n*-hexane–CH₂Cl₂) afforded 4-methoxy-1-*H*-phenalen-1-one (4-methoxyperinaphthanone, **1**) (134 mg, 64%): Orange powder, ¹H NMR (C₃D₆O, 500.13 MHz) δ 8.50 (d, *J* = 7.5 Hz, H-9), 8.30 (d, *J* = 7.9 Hz, H-7), 8.25 (d, *J* = 9.2 Hz, H-6), 8.25 (d, *J* = 10.0 Hz, H-3), 7.72 (dd, *J* = 7.5, 7.9 Hz, H-8), 7.60 (d, *J* = 9.2 Hz, H-5), 6.58 (d, *J* = 10.0 Hz, H-2), 4.17 (s, –OCH₃); ¹³C NMR (C₃D₆O, 125.75 MHz) δ 184.7 (C-1), 159.9 (C-4), 140.0 (C-3), 135.9 (C-6), 135.6 (C-7), 131.3 (C-9), 130.1 (C-9a), 129.0 (C-6a), 127.9 (C-2), 125.7 (C-8), 124.4 (C-9 b), 114.9 (C-5), 113.8 (C-3a), 57.1 (–OCH₃). HREIMS *m/z* 210.067280 (calcd for C₁₄H₁₀O₂, 210.068080).
- Experimental procedure*: 2,3-Dihydro-4-methoxy-1-*H*-phenalen-1-one (4-methoxyperinaphthanone, **6**) was synthesized from **2** (92 mg) by treatment with SOCl₂ (3 × 0.5 mL), dissolving the residue in CH₂Cl₂

(5 mL) and adding AlCl_3 (180 mg) according to the procedure which was used to prepare **1**. After adding silica gel (2 g) and column chromatography (CH_2Cl_2), compound **6** was obtained as a pale yellow oil (46 mg, 54%) which in open air atmosphere at 30 °C slowly decomposed to **1**. The compound was solidified by means of slow evaporation of a CH_2Cl_2 solution under N_2 : Pale yellow powder, ^1H NMR ($\text{C}_3\text{D}_6\text{O}$, 500.13 MHz) δ 8.12 (dd, $J = 8.2, 1.3$ Hz, H-7), 8.06

(dd, $J = 7.1, 1.3$ Hz, H-9), 7.94 (d, $J = 9.0$ Hz, H-6), 7.51 (d, $J = 9.0$ Hz, H-5), 7.47 (dd, $J = 7.1, 8.2$ Hz, H-8), 4.03 (s, $-\text{OCH}_3$), 3.36 (2H, t, $J = 7.4$ Hz, H-3), 2.85 (2H, t, $J = 7.4$ Hz, H-2); ^{13}C NMR ($\text{C}_3\text{D}_6\text{O}$, 125.75 MHz) δ 198.0 (C-1), 155.6 (C-4), 134.7 (C-7), 133.7 (C-9b), 130.0 and 129.8 (C-9a and C-6a), 128.7 (C-6), 125.8 (C-9), 123.8 (C-8), 118.2 (C-3a), 114.5 (C-5), 56.6 ($-\text{OCH}_3$), 38.1 (C-2), 22.2 (C-3). HREIMS m/z 212.082985 (calcd for $\text{C}_{14}\text{H}_{12}\text{O}_2$, 212.083730).